

DEPARTMENT OF  
HEALTH, EDUCATION, AND WELFARE  
PUBLIC HEALTH SERVICE

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|                       |         |               |
|-----------------------|---------|---------------|
| TYPE                  | PROGRAM | NUMBER        |
| REVIEW GROUP          |         | FORMERLY      |
| COUNCIL (Month, Year) |         | DATE RECEIVED |

## GRANT APPLICATION

TO BE COMPLETED BY PRINCIPAL INVESTIGATOR (Items 1 through 7 and 15A)

1. TITLE OF PROPOSAL (Do not exceed 53 typewriter spaces)

Resource-Related Research - Computer in Chemistry (RR-00612 renewal)

2. PRINCIPAL INVESTIGATOR

2A. NAME (Last, First, Initial)

Djerassi, Carl

3. DATES OF ENTIRE PROPOSED PROJECT PERIOD (This application)

FROM

May 1, 1980

THROUGH

April 30, 1985

2B. TITLE OF POSITION

Professor of Chemistry

4. TOTAL DIRECT COSTS REQUESTED FOR PERIOD IN ITEM 3

\$1,611,719

5. DIRECT COSTS REQUESTED FOR FIRST 12-MONTH PERIOD

\$511,400

2C. MAILING ADDRESS (Street, City, State, Zip Code)

Department of Chemistry  
Stanford University  
Stanford, CA 94305

6. PERFORMANCE SITE(S) (See Instructions)

Stanford University  
Stanford, CA 94305

2D. DEGREE

Ph.D.

2E. SOCIAL SECURITY NO.

2F. TELEPHONE AREA CODE TELEPHONE NUMBER EXTENSION

PHONE DATA

415

497-2783

2G. DEPARTMENT, SERVICE, LABORATORY OR EQUIVALENT (See Instructions)

Chemistry Department

2H. MAJOR SUBDIVISION (See Instructions)

School of Humanities and Sciences

7. Research Involving Human Subjects (See Instructions)

A. ☒ NO B. ☐ YES Approved: \_\_\_\_\_C. ☐ YES - Pending Review

Date

8. Inventions (Renewal Applicants Only - See Instructions)

A. ☒ NO B. ☐ YES - Not previously reportedC. ☐ YES - Previously reported

TO BE COMPLETED BY RESPONSIBLE ADMINISTRATIVE AUTHORITY (Items 8 through 13 and 15B)

9. APPLICANT ORGANIZATION(S) (See Instructions)

Stanford University  
Stanford, CA 94305  
IRS No. 94-1156365  
Congressional District 12

11. TYPE OF ORGANIZATION (Check applicable item)

☐ FEDERAL ☐ STATE ☐ LOCAL ☒ OTHER (Specify)

Private, non-profit university

12. NAME, TITLE, ADDRESS, AND TELEPHONE NUMBER OF OFFICIAL IN BUSINESS OFFICE WHO SHOULD ALSO BE NOTIFIED IF AN AWARD IS MADE

K. D. Creighton  
Assoc. Vice President-Controller  
Stanford University  
Stanford, CA 94305

Telephone Number 415-497-2251

10. NAME, TITLE, AND TELEPHONE NUMBER OF OFFICIAL(S) SIGNING FOR APPLICANT ORGANIZATION(S)

Larry J. Lollar  
Sponsored Projects Officer

13. IDENTIFY ORGANIZATIONAL COMPONENT TO RECEIVE CREDIT FOR INSTITUTIONAL GRANT PURPOSES (See Instructions)

14. ENTITY NUMBER (Formerly PHS Account Number)

IRS No. 94-1156365

Telephone Number (s) 415-497-2883

15. CERTIFICATION AND ACCEPTANCE. We, the undersigned, certify that the statements herein are true and complete to the best of our knowledge and accept, as to any grant awarded, the obligation to comply with Public Health Service terms and conditions in effect at the time of the award.

SIGNATURES

(Signatures required on original copy only.  
Use ink, "Per" signatures not acceptable)

A. SIGNATURE OF PERSON NAMED IN ITEM 2A

DATE

B. SIGNATURE(S) OF PERSON(S) NAMED IN ITEM 10

DATE

## SECTION 1

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE  
PUBLIC HEALTH SERVICE

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PROJECT NUMBER

## RESEARCH OBJECTIVES

NAME AND ADDRESS OF APPLICANT ORGANIZATION

Stanford University, Stanford, CA 94305

NAME, SOCIAL SECURITY NUMBER, OFFICIAL TITLE, AND DEPARTMENT OF ALL PROFESSIONAL PERSONNEL ENGAGED ON PROJECT, BEGINNING WITH PRINCIPAL INVESTIGATOR

Carl Djerassi  
Dennis H. Smith  
Bruce G. BuchananProfessor of Chemistry  
Senior Research Associate  
Adjunct ProfessorDepartment of Chemistry  
Department of Chemistry  
Department of Computer  
ScienceJames G. Nourse  
Neil A. B. Gray

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Research Associate  
Research AssociateDepartment of Chemistry  
Department of Computer  
Science

## TITLE OF PROJECT

Resource-Related Research - Computers in Chemistry

USE THIS SPACE TO ABSTRACT YOUR PROPOSED RESEARCH. OUTLINE OBJECTIVES AND METHODS. UNDERSCORE THE KEY WORDS (NOT TO EXCEED 10) IN YOUR ABSTRACT.

Our proposed research concerns computer-assisted structure elucidation of organic compounds of biological importance. We propose to make a quantum jump in both performance of existing and new programs and their availability to a nationwide community of biomedical scientists. We will build more powerful programs (basing our efforts on the solid foundation of programs developed under earlier grant support by: a) assembling a Semi-Automated Structure Elucidation System (SASES) which will act as a computer-based "laboratory" for carrying out experiments involving computer representation and manipulation of chemical structures, including structure elucidation, spectral data interpretation and prediction and conformational analysis to establish relationships between three-dimensional structures and their biological and chemical properties (structure/property relationships); b) developing at the heart of SASES the GENOA program, a method for structure Generation with Overlapping Atoms, which will include as a component our existing CONGEN program; c) developing a method for constrained generation of molecular conformations; and d) extending our topological structure representations to include both configurational and conformational stereochemistry and infusing proper treatment of stereochemistry throughout our computer programs. We will increase the availability of our programs to the outside community through resource sharing in the following ways: a) through a dedicated computer system which will exploit the proposed relationship of our work to the SUMEX-AIM computer resource; b) by continuing to develop exportable versions of our programs; and, c) by holding intensive workshops to introduce other research groups to our techniques.

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**BUDGET ESTIMATES FOR ALL YEARS OF SUPPORT REQUESTED FROM PUBLIC HEALTH SERVICE  
DIRECT COSTS ONLY (Omit Cents)**

| DESCRIPTION   |          | 1ST PERIOD<br>(SAME AS DE<br>TAILED BUDGET) | ADDITIONAL YEARS SUPPORT REQUESTED (This application only) |          |          |              |          |          |
|---|----------|---|--|----------|----------|--------------|----------|----------|
|   |          |   | 2ND YEAR   | 3RD YEAR | 4TH YEAR | 5TH YEAR     | 6TH YEAR | 7TH YEAR |
| PERSONNEL COSTS   |          | 190,586                                     | 204,704  | 220,459  | 229,843  | 247,511      |          |          |
| CONSULTANT COSTS<br>(Include fees, travel, etc.)                          |          |   |  |          |          |              |          |          |
| EQUIPMENT   |          | 265,674                                     | 11,460   | -        | -        | -            |          |          |
| SUPPLIES  |          | 2,600                                       | 2,782  | 2,977    | 3,185    | 3,408        |          |          |
| TRAVEL  | DOMESTIC | 1,500                                       | 1,500  | 1,500    | 1,500    | 1,500        |          |          |
|   | FOREIGN  |   |  |          |          |              |          |          |
| PATIENT COSTS   |          |   |  |          |          |              |          |          |
| ALTERATIONS AND<br>RENOVATIONS  |          |   |  |          |          |              |          |          |
| OTHER EXPENSES  |          | 51,040                                      | 37,836   | 40,483   | 43,320   | 46,351       |          |          |
| TOTAL DIRECT COSTS  |          | 511,400                                     | 258,282  | 265,419  | 277,848  | 298,770      |          |          |
| TOTAL FOR ENTIRE PROPOSED PROJECT PERIOD (Enter on Page 1, Item 4) —————→ |          |   |  |          |          | \$ 1,611,719 |          |          |

REMARKS: Justify all costs for the first year for which the need may not be obvious. For future years, justify equipment costs, as well as any significant increases in any other category. If a recurring annual increase in personnel costs is requested, give percentage. (Use continuation page if needed.)

Salary increases, and increases in other categories figured at 7% per year.

Staff benefits determined according to the following:

|        |   |         |       |
|--------|---|---------|-------|
| 5/1/80 | - | 8/31/80 | 21.6% |
| 9/1/80 | - | 8/31/81 | 22.4% |
| 9/1/81 | - | 8/31/82 | 23.2% |
| 9/1/82 | - | 8/31/83 | 24.0% |
| 9/1/83 | - | 8/31/84 | 24.8% |
| 9/1/84 | - | 4/30/85 | 25.6% |

(see attached)

Budget Remarks

Personnel

Professor Djerassi will continue in his role as principal investigator, providing overall scientific direction to the project, Dr. Smith acting as co-investigator. Drs. Smith, Nourse, Gray and Buchanan will be responsible for the design, implementation and application of the programs which we propose to develop, including representing the scientific interface to the outside community of collaborators.

Two programmers, Mr. Terry and a person to be appointed, will provide scientific programming support for the project including the following responsibilities. Mr. Terry will have primary responsibility for maintenance and documentation of application programs, with the assistance of other members of the scientific and technical staff. He will share responsibility for design and programming of new algorithms with the scientific staff. Together with the second programmer, he will provide technical support for collaborators and be responsible for developing versions of CONGEN and newer programs for other computer systems. The second programmer, to be named, will have primary responsibility for the dedicated computer system (the DEC VAX) requested in this proposal, including interfaces to the SUMEX PDP-10 system, and all other necessary system support functions including obtaining and implementing new languages, text editors and so forth.

The pre- and postdoctoral fellows supported by the grant will be involved in applications of the programs to chemical problems in our own laboratories or in research involving development of new computer techniques in collaboration with the professional staff.

The secretarial responsibilities (105 percent of a full time person) are distributed among three persons, reflecting our best estimates of actual time spent on this grant and other grants, to myself or Prof. Feigenbaum, which support the remainder of their salaries (Ms. Learned-Driscoll is supported for the remainder of her time by the Chemistry Department).

Equipment

A Dedicated Computer System - the DEC VAX-11/780

We have requested funds in the first year of the proposal to purchase a dedicated computer system for our project. The reasons for this approach are discussed in detail in Section A.2.c, Relationship to the SUMEX-AIM Resource, and in Section C.5.a. Briefly, the implementation of this machine as an adjunct to the SUMEX resource is a research study in itself, namely, how best to provide access to computational resources in a computing environment where heavy demands are being made on both development and application of software tools for solving biomedical problems. We intend to make the dedicated system available for production use of our applications programs, assuring high quality interactive service to our collaborators. We cannot get such additional capacity for this work from SUMEX which is already overcrowded and committed to new program development rather than production use. We will exploit our close relationship with SUMEX for the development of new programs, for continued close contact with the AI community centered there, as a gateway to communication facilities allowing remote terminal

access and for sharing of peripheral equipment and operations support so far as is possible. At the end of this section we comment on our situation were the dedicated machine not approved as part of this proposal.

In considering the type of machine which could provide the needed, additional capacity, we have focussed our attention on the qualities we would require in a machine, letting these considerations guide our choice. We require high quality, interactive service to support our programs in a reasonable way. An interactive program must provide rapid response to the scientist using it. This means we need a system with a good time-sharing operating system. Our programs tend to be larger than can fit comfortably on mini-computers, and certainly the more complex programs which we propose to develop will only grow larger. This requirement suggests a system which possesses a large address space, large enough that space is not a limiting factor. The combination of time sharing and large address space suggests a virtual memory machine with demand paging. Finally, we want a machine that will maximize long-term compatibilities with the SUMEX AI community and other biochemical and chemical computing resources and laboratory systems.

We have considered several alternative computers which might meet the above qualities and would provide the needed computational power to support our community. We have rejected all 16-bit (or fewer) mini-computers on the basis of address space limitations which are too restrictive for the size of our programs. Although it is possible in principle to fit our new programs such as the exportable version of CONGEN into such a small machine using extensive overlays, the programming effort would be prohibitive.

One plausible alternative is to purchase a computer compatible with the existing DEC-10 or DEC-20 systems at SUMEX and Rutgers on which our new software such as CONGEN will run without change. There are only two reasonable alternatives here, the DEC-20 family or the Foonly F-2 (a DEC-10 compatible machine being developed by a Bay-area company). The higher end of the DEC line is prohibitively expensive (e.g., the 2040 or 2050), leaving the DEC-2020 as the only reasonable choice. These systems have the advantage of running the highly developed interactive monitors (TENEX or TOPS-20) now used at SUMEX and other AI resources. This would maximize near-term compatibility and minimize operational costs. The 2020 (and the F-2) are computationally quite slow, however, particularly for arithmetic processing since they do not have a floating point processor. We expect our new research will make significant demands in this area.

There are several other negative factors about selecting either the 2020 or the F-2. The current price-performance index of the DEC-2020 is quite poor; no price advantage per user is achieved over the much more complex (and expensive) DEC-20's. Foonly is a very small company whose future is insecure. Only a few laboratories to which we might export software and collaborate on program developments and applications operate DEC-10 or -20 compatible machines. Finally, this class of machines is rapidly becoming obsolete. DEC appears to be directing their future developments toward VAX-like machines.

In parallel with this, the ARPANET AI community has been investigating long-term alternatives for INTERLISP support given the address space limitations of the DEC-10. A number of alternatives are under consideration including a "personal" LISP machine being developed at MIT, a PRIME system being considered by BB and N (Bolt Beranek and Newman Inc.) and the DEC-VAX. It appears that a consensus is developing around VAX given its attractive architecture, good interactive operating systems (UNIX or perhaps VMS) and vendor support. The DEC-VAX also appears to be

increasingly popular in scientific laboratories, including labs of several of our workshop attendees (see Annual Report, Appendix I). As another example, the National Resource for Computation in Chemistry will soon take delivery of a VAX system, meaning that additional, chemistry related software will soon become available on the VAX. (We note that similarly configured VAX's and 2020's have similar prices; the VAX will deliver considerably more computational power, however).

In summary, purchase of a 2020 or similar machine would be an expedient, short-term option to pursue in terms of our compatibility with ourselves. We feel strongly, however, that this would be short sighted. We must look further into the future in our proposal to make the best estimate possible on the trends in computer developments followed by both manufacturers and users. We are already seeing shifts in DEC, the AI community and, most importantly, computational chemists with whom we interact, toward VAX and similar machines. It is becoming clear that VAX or its equivalent is the machine to obtain, especially as a medium for providing high quality, interactive service to our collaborators, whether by network access to Stanford or programs exported to other sites. We have, therefore, tentatively decided to purchase a VAX as the dedicated machine to support applications of our programs. The configuration of the VAX as we propose it would include:

- 1) Basic system, including 512 KByte memory, one RP06 disc drive, one TEL6 tape drive, VMS operating system, LA120 operator console, DZ-11A for eight terminal lines (\$185,000);
- 2) 1 MByte additional memory (\$35,000);
- 3) Either 1 Mbyte additional memory (\$35,000) or one additional RP06 disc drive (\$34,000) (\$35,000 figured in the total appearing on the budget)
- 4) FORTRAN compiler (\$3,300);
- 5) Floating point accelerator (\$9,900).

Item (2) is strongly recommended by DEC in order to support more than two or three concurrent users. The choice in item (3) remains to be made. The tradeoff here is whether or not support for a larger complement of users (8 - 12) is best handled by a separate disc system for paging, or by additional memory. Further discussions with other VAX users will be required to reach a final conclusion. The final budget figure (\$254,214) was obtained by summing the above figures, taking an 11 percent educational discount and adding 6-1/2 percent California State sales tax.

We are currently evaluating the various operating systems available for the VAX, including VMS from DEC, UNIX from Bell Laboratories or the Interactive Systems Corp. UNIX version which couples both VMS and UNIX in one package. Choice of one of the non-DEC operating systems will add an additional increment to our budget. In addition, new software from DEC, such as new compilers, will represent additional costs. Given the price of the FORTRAN compiler, we can estimate other languages to cost about the same. We have requested funds in the budget to cover software purchases, with the greatest expense in year one.

We have also budgeted, as a one-time cost, our best estimates of the expense required to implement the SUMEX/VAX link (see Section C.5). This figure, \$15,000, will cover communications interfaces, circuit boards, cabling and integrated circuits.

As a final note, the rapidly changing situation with respect to new machines and cheaper prices for existing machines may allow us to reduce these estimates in the coming months. We are making every effort to find more cost-effective ways to meet our basic goals for the dedicated computer.

We believe that a DEC maintenance contract to support the hardware is the most cost-effective way to ensure maximum computer availability for our outside collaborators. Based on the hardware cited above and DEC's standard contract prices, the cost for year one (nine-month basis, three months covered under warranty) is \$13,450, including a maintenance contract on the existing GT40.

If this proposal is approved and funded without the proposed computer, we will be forced to provide access to our programs, for both development and applications, to SUMEX alone, i.e., perpetuate the current situation. Not only will an important experiment in resource access and resource sharing be lost, but our collaborators (see Section F) will become increasingly impatient at the slow response time of SUMEX. We know from past experience that if response time in a highly interactive program becomes too long, scientists will simply find more productive uses for their time and will cease to use the computer. It also must be recognized that, because SUMEX is funded separately from our grant, we must also try to ensure long-term computational support for our research. The machine configuration requested is, alone, insufficient to meet our needs in the absence of SUMEX, but would do so with augmentation (additional peripheral equipment, memory, network interface, additional terminal ports and perhaps additional personnel).

#### Graphics Terminals

The goals of our proposed research are heavily involved with stereochemical representations of molecular structure. The requirements for visualizing these structures plus our desires to seek improvements in the interactive capabilities of our programs (most of our collaborators have requested some form of graphic input and output) have led us to propose a low-cost alternative to expensive graphics systems (Evans and Sutherland, Vector-General) or even expensive graphics terminals like the DEC GT-40. We are currently examining alternative display systems which represent new technology and provide graphics capabilities together in some instances with capabilities for input of graphic (in our case structural) information.

We have examined several display systems so far, including those manufactured by Grinnel Systems (Santa Clara, CA), DeAnza Systems (Santa Clara, CA) and Tektronix. At this time, the Tektronix 4025 appears to have a better set of desirable features, including capacity for pseudo-rotation of structures based on sequential display of stored images, programmable functions for special graphics such as automatic construction of ring systems, and cursor control for structure input. The budget figure requested includes the basic terminal plus additional display and graphics memory (to a total of 32K and 64K bytes, respectively) to allow storage of sufficient multiple images for pseudo-rotation (to add three-dimensional characteristics to the visualized structures). We will continue to search for other low-cost graphics terminal which would meet our needs together with the needs of our collaborators.

A second terminal has been requested in the second year of the budget. By that time we should be heavily involved in representation and manipulation of three-dimensional representations of structure and would require additional graphics terminal support for program development and application.

## BIOGRAPHICAL SKETCH

(Give the following information for all professional personnel listed on page 3, beginning with the Principal Investigator. Use continuation pages and follow the same general format for each person.)

|   |   |   |   |
|---|---|---|---|
| NAME<br>Carl Djerassi   | TITLE<br>Professor of Chemistry   | BIRTHDATE (Mo., Day, Yr.)<br>10/29/23   |   |
| PLACE OF BIRTH (City, State, Country)<br>Vienna, Austria  | PRESENT NATIONALITY (If non-U.S. citizen, indicate kind of visa and expiration date)<br>U. S. Citizen | SEX<br><input checked="" type="checkbox"/> Male <input type="checkbox"/> Female |   |
| EDUCATION (Begin with baccalaureate training and include postdoctoral)  |   |   |   |
| INSTITUTION AND LOCATION  | DEGREE  | YEAR CONFERRED  | SCIENTIFIC FIELD                          |
| Kenyon College  | A. B. (summa cum laude)   | 1942  | Chemistry, Biology                        |
| University of Wisconsin   | Ph.D.   | 1945  | Organic Chemistry<br>Biochemistry (minor) |
| HONORS National Medal of Science ('73); Perkin Medal ('75); Am. Chem. Soc. Awards: Pure Chemistry ('58), Baekeland Medal ('59), Fritzsche Award ('60), Award for Creative Invention ('73); Freedman Foundation Patent Award ('71) and Chem. Pioneer Award ('73) of Am. Inst. Chem.; Hon. Member and Centenary Lecturer, Chem. Soc. (London); Member of National Academy of Sciences, American |   |   |   |
| MAJOR RESEARCH INTEREST   |   | ROLE IN PROPOSED PROJECT  |   |
| RESEARCH SUPPORT (See instructions) See page 10   |   |   |   |

## HONORS (continued)

Academy of Arts and Sciences, Royal Swedish Academy of Sciences, Leopoldina, Bulgarian Academy of Sciences. Honorary D. Sc. Kenyon College, Nat. Univ. of Mexico, Federal Univ. of Rio de Janeiro, Worcester Polytechnic Inst., Wayne State, Columbia, Uppsala, Coe College, University of Geneva. Wolf Prize in Chemistry (1978), National Inventors Hall of Fame (1978).

RESEARCH AND/OR PROFESSIONAL EXPERIENCE (Starting with present position, list training and experience relevant to area of project. List all or most representative publications. Do not exceed 3 pages for each individual.)

Academic Experience

Professor of Chemistry, Stanford University, 1959 - present

Assoc. Professor ('52-'54) and Professor ('54-'59), Wayne State University

Industrial Experience

Zoecon Corp., Palo Alto, CA, Chairman of the Board and Chief Exec. Officer, '68 - present.

Syntex Corp.: Various positions in Mexico City ('49-'52, '57-'60) and Palo Alto, CA ('60-'72) ranging from Assoc. Director of Chemical Research to President of Syntex Research.

Ciba Pharmaceutical Co., Summit, NJ, Research Chemist, '42-'43, '45-'49.

Miscellaneous

Chairman of AAAS Gordon Res. Conf. on Steroids and Nat. Prod. ('52-'54); Member Amer. Pugwash Comm. ('68-'75); Chairman, Latin American Science Board of National Academy of Sciences ('66-'68); Member ('68-'72) and Chairman ('73-'75) of Board on Science and Technology for International Development of National Academy of Sciences; Member, President's Advisory Group on Contributions of Technology to Economic Streng ('75-'76); Comm. on National Medal of Science; NAS Institute of Medicine (Member of Membership Comm. or Comm. on International Health).

Publications

Author or co-author of six books (four dealing with organic mass spectrometry) and over 930 scientific publications. The most recent publications (since January 1978) are listed here, with papers Nos. 927, 925, 924, 915, 907 and 906 being particularly relevant to this application.



## RESEARCH SUPPORT: CARL DJERASSI

Since essentially all of my research is supported by the NIH, it is important for the reviewers to understand what each grant actually covers in terms of personnel, equipment, etc., and how the present renewal application of RR-00612 fits into this overall picture. Therefore, I am going into somewhat more detail than is generally required for this section.

GM-06840 (20-23): "Marine Chemistry with Special Emphasis on Steroids" 5/1/78 - 4/30/82. Current annual budget \$133,042. This grant supports the bulk (equivalent to three predoctorate and five postdoctorate fellows) of my collaborators who are working on the isolation, structure elucidation, biosynthesis and possible biological function of marine steroids.

GM-20276 (05): "Magnetic Circular Dichroism of Cytochromes P-450" 7/1/78 - 6/30/79. Current budget \$38,201. Aside from equipment maintenance and liquid helium, this grant supports partial salaries of two postdoctorate research associates. A renewal application, entitled "MCD of Porphyrins and Artificial Heme Proteins" has been submitted.

RR-00612 (09-10): "Resource Related Research - Computers in Chemistry" 5/1/78 - 4/30/80. Current budget \$144,051 (5/1/79 - 11/30/79). The current application is for renewal of this project. The renewal represents a significant change in emphasis from the current proposal in that the SUMEX-AIM computer resource is the resource to which our research will be related in the future, rather than the mass spectrometry laboratory. For this reason, the following two (partially overlapping) applications were submitted (and are pending) for support of personnel and supplies and purchase of new equipment to maintain and upgrade the mass spectrometry laboratory. Because of this shift in emphasis, the current proposal requests no funds for support of the laboratory and does not overlap in any way with the following two proposals.

AM-04257: "Mass Spectrometry in Organic and Biochemistry" 12/1/76 - 11/30/79. Current annual budget \$74,800. This grant currently supports one mass spectrometer, a senior technician to operate the instrument, one postdoctoral fellow and three predoctoral fellows, who are engaged in isolation and synthetic work related to interpretation of fragmentation patterns of known and unknown molecular structures.

A renewal application has been submitted for this grant and is currently pending. This renewal is designed to support the mass spectrometer, personnel and supplies currently supported under RR-00612. In addition funds were requested to upgrade the mass spectrometer facilities. No action has as yet been taken on this application.

Pending Application: A NIGMS Shared Instrumentation Resource proposal entitled "A Shared Mass Spectrometry Resource" has been submitted for the period 9/1/79 to 8/30/82. The first year budget is \$145,046, including \$130,850 of capital equipment. This proposal requests support for equipment similar to that requested in the renewal of AM-04257, with some differences reflecting the resource sharing aspects of the NIGMS program. The only personnel for whom support is requested under this proposal include 10 percent of Dr. Smith and 10 percent of a senior technician. No action has yet been taken on this application. If AM-04257 is approved then only \$18,500 is requested from NIGMS for a chemical ionization accessory.

## RESEARCH SUPPORT: CARL DJERASSI (continued)

Pending Application: An application entitled "Circular Dichroism of Cyclic Ketones - Conformational Isotope Effects" with an annual budget of \$55,782 for the period 4/1/79 to 3/31/81 has been submitted to the National Science Foundation. In terms of personnel, it covers the salaries of one postdoctorate and two predoctorate fellows for synthetic work on chiral ketones, whose chirality is only due to  $^{13}\text{C}$  or deuterium. No action has as yet been taken on this application.

## RECENT PUBLICATIONS

900. Magnetic Circular Dichroism Studies LII. Magnetic Circular Dichroism of Purified Forms of Rabbit Liver Cytochromes P-450 and P-420. Biochemistry **17**, 33 (1978), by J. H. Dawson, J. R. Trudell, R. E. Linder, G. Barth, E. Bunnenberg and C. Djerassi.

901. Optical Rotatory Dispersion Studies CXXII. Synthesis and Circular Dichroism of (3S)-Deuteriocyclohexanone. Tetrahedron Letters, 535 (1978), by C. Djerassi, C. L. VanAntwerp and P. Sundararaman.

902. Hommes Affamés, Parasites Affamés. Prospective et Santé, No. 4, 87-91 (1978), by Carl Djerassi.

903. Marine Natural Products. Synthesis of Four Naturally Occurring  $20\beta$ -H Cholanic Acid Derivatives. J. Org. Chem. **43**, 1442 (1978), by D. J. Vanderah and C. Djerassi.

904. One Step Conversion of Aldehydes to Esters. Tetrahedron Letters, 1627 (1978), by P. Sundararaman, E. C. Walker and C. Djerassi.

905. Terpenoids LXXV.  $\Delta^{9(12)}$ -Capnellene, A New Sesquiterpene Hydrocarbon from the Soft Coral Capnella Imbricata. Tetrahedron Letters, 1671 (1978), by E. Ayanoglu, T. Gebreyesus, C. M. Beechan, C. Djerassi and M. Kaisin

906. Applications of Artificial Intelligence for Chemical Inference XXVII. Computer-Assisted Structure Manipulation. Studies in the Biosynthesis of Natural Products. Tetrahedron **34**, 841 (1978), by T. H. Varkony, D. H. Smith and C. Djerassi

907. Recent Advances in the Mass Spectrometry of Steroids. Pure and Appl. Chem. **50**, 171 (1978), by C. Djerassi.

RECENT PUBLICATIONS - Carl Djerassi

908. Optical Rotatory Dispersion Studies CXXIII. Experimental Evidence for Preference of Axial Deuterium over Axial Hydrogen.  
J. Amer. Chem. Soc. 100, 3965 (1978)  
by S.-F. Lee, G. Barth, K. Kieslich and C. Djerassi
909. Optical Rotatory Dispersion Studies CXXIV. Synthesis and Circular Dichroism of 3(S)<sup>a</sup>- and 3(R)<sup>e</sup>-Deuterio-4(R)-t-Butyl-cyclohexanone and 2(R)<sup>a</sup>- and 2(S)<sup>e</sup>-Deuterio-4(R)-Isopropyl-cyclohexanone.  
Tetrahedron Letters, 2457 (1978)  
by P. Sundararaman and C. Djerassi
910. Minor and Trace Sterols in Marine Invertebrates IV. Identification of Sterols with Short Side Chains in the Sponge Damiriana hawaiiiana.  
Helv. Chim. Acta 61, 1470 (1978)  
by C. Delseth, R.M.K. Carlson, C. Djerassi, T. Erdman and P.J. Scheuer
911. "Coping with Interdependence: The Population Problem", in Proceedings of the Symposium on Energy, Food, Population and World Interdependence, Committee on Chemistry and Public Affairs, American Chemical Society, Washington, D.C., 1978, pp. 40-43; 53-55. By Carl Djerassi.
912. Minor and Trace Sterols in Marine Invertebrates V. Isolation, Structure Elucidation and Synthesis of 3 $\beta$ -Hydroxy-26,27-Bisnorcholest-5-en-24-one from the Sponge Psammaphysilla purpurea.  
Steroids 31, 815 (1978)  
by E. Ayanoglu, C. Djerassi, T. R. Erdman and P.J. Scheuer
913. Terpenoids LXXIV. The Sesquiterpenes from the Soft Coral Sinularia mayi.  
Tetrahedron 34, 2503 (1978)  
by C. M. Beechan, C. Djerassi and H. Eggert
914. 24-Ethyl- $\Delta^{5,24(28),28}$ -cholestatrien-3 $\beta$ -ol - a Naturally Occurring Allenic Marine Sterol.  
J. Amer. Chem. Soc. 100, 5574 (1978)  
by N. Theobald, J.N. Shoolery, C. Djerassi, T.R. Erdman and P.J. Scheuer

RECENT PUBLICATIONS - Carl Djerassi

915. Applications of Artificial Intelligence for Chemical Inference XXVIII. Computer-Assisted Simulation of Chemical Reaction Sequences. Applications to Problems of Structure Elucidation. J. Chem. Inf. Comput. Sci. **18**, 168 (1978)  
by T.H. Varkony, R.E. Carhart, D.H. Smith and C. Djerassi
916. Birth Control after 1984 Revisited. Bull. Amer. Acad. of Arts and Sciences **32**, No. 1, (1978)  
by C. Djerassi
917. Determination of the Absolute Configuration of Stelliferasterol and Strongylosterol - Two Marine Sterols with "Extended" Side Chains. Tetrahedron Letters, 4369 (1978)  
by N. Theobald and C. Djerassi
918. Minor and Trace Sterols in Marine Invertebrates IX. Verongulasterol - a Marine Sterol with a Novel Side Chain Alkylation Pattern. Tetrahedron Letters, 4373 (1978)  
by W.C.M.C. Kokke, W. H. Fenical, C. S. Pak and C. Djerassi
919. Optical Rotatory Dispersion Studies CXXVI. Synthesis and Chiroptical Properties of Cyclohexanones with Chirality Solely Due to Isotopic Substitution:  $^{12}\text{CH}_3$  vs  $^{13}\text{CH}_3$  and  $\text{CH}_3$  vs  $\text{CD}_3$  Tetrahedron Letters, 4377 (1978)  
by C. S. Pak and C. Djerassi
920. Isolation and Structure of 26,27-Cycloaplysterol (Petrosterol): A Cyclopropane-Containing Marine Sterol. Tetrahedron Letters, 4379 (1978)  
by B. N. Ravi, W.C.M.C. Kokke, C. Delseth and C. Djerassi

RECENT PUBLICATIONS - Carl Djerassi

921. Minor and Trace Sterols in Marine Invertebrates VIII. Isolation, Structure Elucidation and Partial Synthesis of Two Novel C<sub>30</sub> Marine Sterols - Stelliferasterol and Isostelliferasterol.  
J. Amer. Chem. Soc., 100, 7677 (1978)  
by N. Theobald, R.J. Wells and C. Djerassi
922. Optical Rotatory Dispersion Studies CXXV. Independent Evidence for Preference of Axial Deuterium vs. Axial Hydrogen through Variable Temperature Circular Dichroism Spectra of (4S)-2,2-dimethyl-4-deuteriocyclohexanone and (3S)-3-deuterio-4,4-dimethylcyclohexanone.  
J. Amer. Chem. Soc., 100, 8010 (1978)  
by S.F. Lee, G. Barth and C. Djerassi
923. Partial Reduction of Aquomethemoglobin on a Sephadex G-25 Column as Detected by Magnetic Circular Dichroism Spectroscopy and Revised Extinction Coefficients for Aquomethemoglobin.  
Analytical Biochemistry, 90, 474 (1978)  
by R. E. Linder, R. Records, G. Barth, E. Bunnenberg, C. Djerassi, B. E. Hedlund, A. Rosenberg, E. S. Benson, L. Seamans and A. Moscovitz
924. Minor and Trace Sterols in Marine Invertebrates VI. Occurrence and Possible Origins of Sterols Possessing Unusually Short Hydrocarbon Side Chains  
Bioorganic Chemistry, 7, 453 (1978)  
by R.M.K. Carlson, S. Popov, I. Massey, C. Delseth, E. Ayanoglu, T.H. Varkony and C. Djerassi

RECENT PUBLICATIONS - Carl Djerasse

925. A Novel Role of Computers in the Natural Products Field.  
Naturwissenschaften, 66, 9 (1979)  
by C. Djerassi, D. H. Smith and T. H. Varkony
926. The Synthesis of Demethylgorgosterol.  
Tetrahedron Letters, 767 (1979)  
by R. D. Walkup, G. D. Anderson and C. Djerassi
927. Applications of Artificial Intelligence for Chemical Inference  
XXIX. Exhaustive Generation of Stereoisomers for Structure  
Elucidation.  
J. Amer. Chem. Soc., 101, 1216 (1979)  
by J. G. Nourse, R. E. Carhart, D. H. Smith and  
C. Djerassi
928. Minor and Trace Sterols in Marine Invertebrates XI. 5 $\alpha$ -24-  
Norcholestan-3 $\beta$ -ol and (24Z)-Stigmasta-5,7,24(28)-trien-3 $\beta$ -  
ol, Two New Marine Sterols from the Pacific Sponges Terpios  
Zeteki and Dysidea Herbacea.  
Helv. Chim. Acta, 62, 101 (1979)  
by C. Delseth, L. Tolela, P.J. Scheuer, R.J. Wells  
and C. Djerassi

## BIOGRAPHICAL SKETCH

(Give the following information for all professional personnel listed on page 3, beginning with the Principal Investigator. Use continuation pages and follow the same general format for each person.)

|   |   |   |
|---|---|---|
| NAME<br>Dennis H. Smith                           | TITLE<br>Research Associate   | BIRTHDATE (Mo., Day, Yr.)<br>11-12-42   |
| PLACE OF BIRTH (City, State, Country)<br>New York | PRESENT NATIONALITY (If non-U.S. citizen, indicate kind of visa and expiration date)<br>USA | SEX<br><input checked="" type="checkbox"/> Male <input type="checkbox"/> Female |

## EDUCATION (Begin with baccalaureate training and include postdoctoral)

| INSTITUTION AND LOCATION                               | DEGREE | YEAR CONFERRED | SCIENTIFIC FIELD |
|--|--------|----------------|------------------|
| Massachusetts Institute of Technology<br>Cambridge, MA | S.B.   | 1964           | Chemistry        |
| University of California<br>Berkeley, CA               | Ph.D.  | 1967           | Chemistry        |

HONORS  
Alfred P. Sloan Foundation Scholarship, NASA Predoctoral Traineeship, Phi Lambda Upsilon, Sigma Xi, Editorial Board of Journal of Chemical Information and Computer Science.

|   |   |
|---|---|
| MAJOR RESEARCH INTEREST<br>Mass Spectrometry and Computer Applications in Chemistry | ROLE IN PROPOSED PROJECT<br>Senior Research Associate |
|---|---|

RESEARCH SUPPORT (See instructions)

n/a

RESEARCH AND/OR PROFESSIONAL EXPERIENCE (Starting with present position, list training and experience relevant to area of project. List all or most representative publications. Do not exceed 3 pages for each individual.)

1971-present Research Associate, Stanford University, Stanford, CA  
 1970-1971 Visiting Scientist, University of Bristol, Bristol, England  
 1967-1970 Assistant Research Chemist, University of California at Berkeley, Berkeley, CA  
 1965-1967 NASA Pre-Doctoral Traineeship, University of California at Berkeley, Berkeley, CA

Publications: See attached list.

RECENT PUBLICATIONS - Dennis H. Smith

(32) R.E. Carhart, D.H. Smith, H. Brown, and N.S. Sridharan, "Applications of Artificial Intelligence for Chemical Inference. XVI. Computer Generation of Vertex-Graphs and Ring Systems," J. Chem. Inf. Comp. Sci., 15, 124 (1975); Erratum, Ibid., 16, 125 (1976).

(33) R.E. Carhart, S.M. Johnson, D.H. Smith, B.G. Buchanan, R.G. Dromey, and J. Lederberg, "Networking and a Collaborative Research Community: A Case Study Using the DENDRAL Programs," in Computer Networking and Chemistry, P. Lykos, Ed., American Chemical Society, Washington, D.C., 1975.

(34) R.E. Carhart, D.H. Smith, H. Brown, and C. Djerassi, "Applications of Artificial Intelligence for Chemical Inference. XVII. An Approach to Computer-Assisted Elucidation of Molecular Structure," J. Amer. Chem. Soc., 97, 5755 (1975).

(35) D.H. Smith, "The Scope of Structural Isomerism," J. Chem. Inf. Comp. Sci., 15, 203 (1975).

(36) D.H. Smith, J.P. Konopelski, and C. Djerassi, "Applications of Artificial Intelligence for Chemical Inference. XIX. Computer Generation of Ion Structures," Org. Mass Spectrom., 11, 86 (1976).

(37) B.R. Simoneit, D.H. Smith, and G. Eglinton, "Application of Real-Time Mass Spectrometric Techniques to Environmental Organic Geochemistry. I. Computerized High Resolution Mass Spectrometry and Gas Chromatography-Low Resolution Mass Spectrometry," Arch. Environ. Cont. Tox., 3, 385 (1976).

(38) R.E. Carhart and D.H. Smith, "Applications of Artificial Intelligence for Chemical Inference. XX. 'Intelligent' Use of Constraints in Computer-Assisted Structure Elucidation," Computers in Chemistry, 1, 79 (1976).

(39) C. Cheer, D.H. Smith, C. Djerassi, B. Tursch, J.C. Braekman, and D. Daloze, "Applications of Artificial Intelligence for Chemical Inference. XXI. Chemical Studies of Marine Invertebrates. XVII. The Computer-Assisted Identification of [+-]Palustrol in the Marine Organism *Cespitularia* sp., aff. *Subvirdis*," Tetrahedron, 32, 1807 (1976).

(40) B.G. Buchanan, D.H. Smith, W.C. White, R. Gritter, E.A. Feigenbaum, J. Lederberg, and C. Djerassi, "Applications of Artificial Intelligence for Chemical Inference. XXII. Automatic Rule Formation in Mass Spectrometry by Means of the Meta-DENDRAL Program," J. Amer. Chem. Soc., 98, 6168 (1976).

(41) D.H. Smith and R. E. Carhart, "Structural Isomerism of Mono- and Sesquiterpenoid Skeletons," Tetrahedron, 32, 2513 (1976).

(42) L.L. Dunham, C.A. Henrick, D.H. Smith, and C. Djerassi, "Mass Spectrometry in Structural and Stereochemical Problems. CCXLVI.



## RECENT PUBLICATIONS - Dennis H. Smith

Electron Impact Induced Fragmentation of Juvenile Hormone Analogs," Org. Mass Spectrom., 11, 1120 (1976).

(43) T.H. Varkony, R.E. Carhart, and D.H. Smith, "Computer-Assisted Structure Elucidation. Modelling Chemical Reaction Sequences Used in Molecular Structure Problems," in "Computer-Assisted Organic Synthesis," W.T. Wipke and J. Howe, Eds., American Chemical Society, Washington, D.C., 1977, p. 188.

(44) "Computer-Assisted Structure Elucidation," D.H. Smith, Ed., American Chemical Society, Washington, D.C., 1977.

(45) R.E. Carhart, T.H. Varkony, and D.H. Smith, "Computer Assistance for the Structural Chemist," in "Computer-Assisted Structure Elucidation," D.H. Smith, Ed., American Chemical Society, Washington, D.C., 1977, p. 126.

(46) D.H. Smith, M. Achenbach, W.J. Yeager, P.J. Anderson, W.L. Fitch, and T.C. Rindfleisch, "Quantitative Comparison of Combined Gas Chromatographic/Mass Spectrometric Profiles of Complex Mixtures," Anal. Chem., 49, 1623 (1977).

(47) B.G. Buchanan and D.H. Smith, "Computer Assisted Chemical Reasoning," in "Computers in Chemical Education and Research," E.V. Ludena, N.H. Sabelli, and A.C. Wahl, Eds., Plenum Press, New York, N.Y., 1977, p. 401.

(48) D.H. Smith and R.E. Carhart, "Structure Elucidation Based on Computer Analysis of High and Low Resolution Mass Spectral Data," in "High Performance Mass Spectrometry: Chemical Applications," M.L. Gross, Ed., American Chemical Society, 1978, p. 325.

(49) T.H. Varkony, D.H. Smith, and C. Djerassi, "Computer-Assisted Structure Manipulation: Studies in the Biosynthesis of Natural Products," Tetrahedron, 34, 841 (1978).

(50) D.H. Smith and P.C. Jurs, "Prediction of  $^{13}\text{C}$  NMR Chemical Shifts," J. Am. Chem. Soc., 100, 3316 (1978).

(51) T.H. Varkony, R.E. Carhart, D.H. Smith, and C. Djerassi, "Computer-Assisted Simulation of Chemical Reaction Sequences. Applications to Problems of Structure Elucidation," J. Chem. Inf. Comp. Sci., 18, 168 (1978).

(52) D.H. Smith, T.C. Rindfleisch, and W.J. Yeager, "Exchange of Comments: Analysis of Complex Volatile Mixtures by a Combined Gas Chromatography-Mass Spectrometry System," Anal. Chem., 50, 1585 (1978).

(53) W.L. Fitch, P.J. Anderson, and D.H. Smith, "Isolation, Identification and Quantitation of Urinary Organic Acids," J. Chrom., 162, 249 (1979).

RECENT PUBLICATIONS - Dennis Smith

(54) W.L. Fitch, E.T. Everhart, and D.H. Smith, "Characterization of Carbon Black Adsorbates and Artifacts Formed During Extraction," Anal. Chem., 50, 2122 (1978).

(55) W.L. Fitch and D.H. Smith, "Analysis of Adsorption Properties and Adsorbed Species on Commercial Polymeric Carbons," Environ. Sci. Tech., 13, 341 (1979).

(56) J.G. Nourse, R.E. Carhart, D.H. Smith, and C. Djerassi, "Exhaustive Generation of Stereoisomers for Structure Elucidation," J. Am. Chem. Soc., 101, 1216 (1979).

(57) C. Djerassi, D.H. Smith, and T.H. Varkony, "A Novel Role of Computers in the Natural Products Field," Naturwiss., 66, 9 (1979).

(58) N.A.B. Gray, D.H. Smith, T.H. Varkony, R.E. Carhart, and B.G. Buchanan, "Use of a Computer to Identify Unknown Compounds. The Automation of Scientific Inference," Chapter 7 in "Biomedical Applications of Mass Spectrometry," G.R. Waller, Ed., in press.

(59) T.C. Rindfleisch and D.H. Smith, in Chapter 3 of "Biomedical Applications of Mass Spectrometry," G.R. Waller, Ed., in press.

(60) T.H. Varkony, Y. Shiloach, and D.H. Smith, "Computer-Assisted Examination of Chemical Compounds for Structural Similarities," J. Chem. Inf. Comp. Sci., in press.

## BIOGRAPHICAL SKETCH

(Give the following information for all professional personnel listed on page 3, beginning with the Principal Investigator. Use continuation pages and follow the same general format for each person.)

|  |   |   |
|--|---|---|
| NAME<br>Buchanan, Bruce G.                                   | TITLE<br>Adjunct Professor of<br>Computer Science   | BIRTHDATE (Mo., Day, Yr.)<br>7/7/40   |
| PLACE OF BIRTH (City, State, Country)<br>St. Louis, Missouri | PRESENT NATIONALITY (If non-U.S. citizen,<br>indicate kind of visa and expiration date)<br>U.S. | SEX<br><input checked="" type="checkbox"/> Male <input type="checkbox"/> Female |

## EDUCATION (Begin with baccalaureate training and include postdoctoral)

| INSTITUTION AND LOCATION                 | DEGREE | YEAR<br>CONFERRED | SCIENTIFIC<br>FIELD |
|--|--------|-------------------|---------------------|
| Ohio Wesleyan University, Delaware, Ohio | B.A.   | 1961              | Mathematics         |
| Michigan State University                | M.A.   | 1966              | Philosophy          |
|  | Ph.D.  |                   |                     |

HONORS  
Recipient of National Institutes of Health Career Development Award (1971-1976).  
continued on attached sheet

|  |  |
|--|--|
| MAJOR RESEARCH INTEREST<br>Artificial Intelligence | ROLE IN PROPOSED PROJECT<br>Principal Investigator |
|--|--|

## RESEARCH SUPPORT (See instructions)

NIH 5R24 RR 00612-09 Resource Related Research: Computers and Chemistry 5/1/77 to 9/30/79  
Time presently committed: 20%  
Dept. of Defense MDA 903-77-C-0322; Heuristic Programming 8/1/77 to 9/30/79. Time presently  
committed: 45%  
National Science Foundation MCS 7702712 Knowledge-Based Intelligent Systems 6/1/77 to  
5/30/79. Time presently committed: 10%  
National Science Foundation MCS 78-02777; MOLGEN: A Computer Science Application to  
Molecular Genetics. Time presently committed: 25%

## RESEARCH AND/OR PROFESSIONAL EXPERIENCE (Starting with present position, list training and experience relevant to area of project. List all or most representative publications. Do not exceed 3 pages for each individual.)

1976 - present Adjunct Professor; Computer Science Department,  
Stanford University, Stanford, CA 94305.  
1972-1976 Research Computer Scientist, Computer Science Department,  
Stanford University.  
1966-1971 Research Associate, Artificial Intelligence Project,  
Stanford University.

Selected Publications - See attached

### Recent Honors

- Editorial Board, Artificial Intelligence: An International Journal.
- Chairman of IJCAI-79 Program Committee (International Joint Conference on Artificial Intelligence, Tokyo, 1979).
- Invited Speaker, Workshop on The Logic of Discovery & Diagnosis in Medicine (Pittsburgh, October 1978).
- Invited Speaker, Douglass College Seminars for Faculty, (Rutgers University, 1978).

### Selected Publications

- Bruce G. Buchanan, G.L. Sutherland, E.A. Feigenbaum, "Toward an Understanding of Information Processes of Scientific Inference in the Context of Organic Chemistry." in B. Meltzer and D. Michie (eds.), Machine Intelligence, 5, Edinburgh: Edinburgh University Press, 1970.
- J. Lederberg, G.L. Sutherland, B.G. Buchanan, E.A. Feigenbaum, A.V. Robertson, A.M. Duffield, and C. Djerassi, "Applications of Artificial Intelligence for Chemical Inference I. The Number of Possible Organic Compounds: Acyclic Structures Containing C,H,O and N," Journal of the Am. Chem. Society, 91, 2973, 1969.
- C.W. Churchman and B.G. Buchanan, "On the Design of the Inductive Systems: Some Philosophical Problems," British Journal for the Philosophy of Science, 20, 311, 1969.
- B.G. Buchanan, E.A. Feigenbaum, and N.S. Sridharan, "Heuristic Theory Formation: Data Interpretation and Rule Formation," in B. Meltzer and D. Michie (eds.), Machine Intelligence 7, Edinburgh: Edinburgh University Press, 1972.
- D. Michie and B.G. Buchanan, "The Scientist's Apprentice," in R.A.G. Carrington (ed.), Computers for Spectroscopy, London: Adam Hilger, 1974.
- E.H. Shortliffe and B.G. Buchanan, "A Model of Inexact Reasoning in Medicine," Mathematical Biosciences, 23, 351, 1975.

Selected Publications (continued)

- E.H. Shortliffe, R. Davis, S.C. Axline, B.G. Buchanan, C.C. Green, S.N. Cohen, "Computer-Based Consultations in Clinical Therapeutics: Explanation and Rule Acquisition Capabilities of the MYCIN System," *Computers and Biomedical Research*, 8, 303, 1975.
- Randall Davis, Bruce Buchanan, Edward Shortliffe, "Production Rules as a Representation of a Knowledge-Based Consultation Program," *Artificial Intelligence*, 8,1,1977.
- B.G. Buchanan, D.H. Smith, W.C. White, R.J. Gitter, E.A. Feigenbaum, J. Lederberg, and Carl Djerassi, "Application of Artificial Intelligence for Chemical Inference XXII. Automatic Rule Formation in Mass Spectrometry by Means of the Meta-DENDRAL Program," *Journal of the American Chemical Society*, 98, 6168, 1976.
- B.G. Buchanan, R. Davis, V. Yu and S. Cohen, "Rule Based Medical Decision Making by Computer," *Proceedings of MEDINFO77*, Toronto, 1977.
- Bruce G. Buchanan and Tom Mitchell, "Model-Directed Learning of Production Rules," in D.A. Waterman and F. Hayes-Roth (eds.), *Pattern Directed Inference Systems*, New York: Academic Press, 1978.
- Randall Davis and Bruce G. Buchanan, "Meta-Level Knowledge: Overview and Applications," *Proceedings of the Fifth IJCAI*, 1,920, August 1977.
- Victor L. Yu, Bruce G. Buchanan, Edward H. Shortliffe, Sharon M. Wraith, Randall Davis, A. Carlisle Scott, Stanley N. Cohen, "Evaluating the Performance of a Computer-Based Consultant." Forthcoming, *Computer Programs in Biomedicine*, Amsterdam.
- Bruce G. Buchanan, Tom M. Mitchell, Reid G. Smith and C. Richard Johnson, Dr., "Models of Learning Systems," in J. Belzer (ed.), *Encyclopedia of Computer Sciences and Technology*, New York: Marcel Dekker, Inc., 1978, Vol 11.
- Bruce G. Buchanan and Edward A. Feigenbaum, "DENDRAL and Meta-DENDRAL: Their Applications Dimension," to appear in *Artificial Intelligence*, Fall 1978.

## BIOGRAPHICAL SKETCH

(Give the following information for all professional personnel listed on page 3, beginning with the Principal Investigator.  
Use continuation pages and follow the same general format for each person.)

|  |  |   |
|--|--|---|
| NAME<br>James G. Nourse                              | TITLE<br>Research Associate  | BIRTHDATE (Mo., Day, Yr.)<br>December 14, 1947                                  |
| PLACE OF BIRTH (City, State, Country)<br>Buffalo, NY | PRESENT NATIONALITY (If non-U.S. citizen, indicate kind of visa and expiration date)<br>U.S. citizen | SEX<br><input checked="" type="checkbox"/> Male <input type="checkbox"/> Female |

## EDUCATION (Begin with baccalaureate training and include postdoctoral)

| INSTITUTION AND LOCATION                | DEGREE   | YEAR CONFERRED | SCIENTIFIC FIELD     |
|---|----------|----------------|----------------------|
| Columbia University, New York, NY       | B.S.     | 1969           | Chemical Engineering |
| California Inst. of Tech., Pasadena, CA | Ph.D.    | 1974           | Organic Chemistry    |
| UCLA, Los Angeles, CA                   | Post Doc | 1973-74        | Organic Chemistry    |
| Princeton University, Princeton, NJ     | Post Doc | 1974-76        | Organic Chemistry    |

## HONORS

Sigma Xi, Invited Lecturer 1978 Conference on the Permutation and its Application to Chemistry and Physics, Bielefeld, Germany

|   |  |
|---|--|
| MAJOR RESEARCH INTEREST<br>Stereochemistry, Computer Applications | ROLE IN PROPOSED PROJECT<br>Research Associate |
|---|--|

## RESEARCH SUPPORT (See instructions)

n/a

## RESEARCH AND/OR PROFESSIONAL EXPERIENCE (Starting with present position, list training and experience relevant to area of project. List all or most representative publications. Do not exceed 3 pages for each individual.)

Research Associate, Department of Chemistry, Stanford University, 5/79 -  
Research Affiliate, Department of Computer Science, Stanford University, 8/76 to 4/79  
Postdoctoral Research Associate, Department of Chemistry, Princeton University, 2/74 to 7/76  
Postdoctoral Research Associate, Department of Chemistry, UCLA, 10/73 to 1/74

Publications- James G. Nourse

M. G. Hutchings, J. G. Nourse, and K. Mislow, "A First Approach to the Stereochemical Analysis of Tetraarylmethanes", Tetrahedron, 30, 1535, 1974.

J. G. Nourse, "An Algebraic Description of Stereochemical Correspondence", Proceedings of the National Academy of Sciences, 72, 2385, 1975.

J. G. Nourse and K. Mislow, "Dynamic Stereochemistry of Tetraarylmethanes and Cognate Systems. The Role of the Permutation Subgroup Lattice", Journal of the American Chemical Society, 97, 4571, 1975.

J. G. Nourse and J. D. Roberts, "Nuclear Magnetic Resonance Spectroscopy. Carbon-13 Spectra of Some Macrolide Antibiotics and Derivatives. Substituent and Conformational Effects", Journal of the American Chemical Society, 97, 4584, 1975.

J. G. Nourse, "Pseudo-chirality", Journal of the American Chemical Society, 97, 4594, 1975.

J. G. Nourse, "Generalized Stereoisomerization Modes", Journal of the American Chemical Society, 99, 2063, 1977.

J. G. Nourse, "The Configuration Symmetry Group and its Application to Stereoisomer Generation, Specification, and Enumeration", Journal of the American Chemical Society, 101, 1210, 1979.

J. G. Nourse, P. E. Carhart, D. H. Smith, and C. Djerassi, "Exhaustive Generation of Stereoisomers for Structure Elucidation", Journal of the American Chemical Society, 101, 1216, 1979.

J. G. Nourse, "Application of the Permutation Group in Dynamic Stereochemistry" to appear in the Proceedings of the Conference on the Permutation Group and its Application to Chemistry and Physics, held at Pöhlfeld, Germany, 3-12 July 1978.

J. G. Nourse, "Application of the Permutation Group to Stereoisomer Generation for Computer Assisted Structure Elucidation", to appear in the Proceedings of the Conference on the Permutation Group and its Application to Chemistry and Physics, held at Pöhlfeld, Germany, 3-12 July, 1978.

## BIOGRAPHICAL SKETCH

(Give the following information for all professional personnel listed on page 3, beginning with the Principal Investigator.  
Use continuation pages and follow the same general format for each person.)

|  |   |   |
|--|---|---|
| NAME<br>Neil A. B. Gray  | TITLE<br>Research Associate   | BIRTHDATE (Mo., Day, Yr.)<br>September 5, 1947                                  |
| PLACE OF BIRTH (City, State, Country)<br>Edinburgh, Scotland, UK | PRESENT NATIONALITY (If non-U.S. citizen, indicate kind of visa and expiration date)<br>British (currently on J-1 visa, applying for H-1) | SEX<br><input checked="" type="checkbox"/> Male <input type="checkbox"/> Female |

## EDUCATION (Begin with baccalaureate training and include postdoctoral)

| INSTITUTION AND LOCATION | DEGREE | YEAR CONFERRED | SCIENTIFIC FIELD      |
|--------------------------|--------|----------------|-----------------------|
| Imperial College, London | B. S.  | 1968           | Chemistry             |
| University of Cambridge  | M. S.  | 1970           | Theoretical Chemistry |
|                          | M. S.  | 1971           | Computer Science      |
|                          | Ph.D.  | 1977           | Computer Science      |

HONORS

|   |                          |
|---|--------------------------|
| MAJOR RESEARCH INTEREST<br>Applications of Computers to Chemistry | ROLE IN PROPOSED PROJECT |
|---|--------------------------|

RESEARCH SUPPORT (See instructions)

RESEARCH AND/OR PROFESSIONAL EXPERIENCE (Starting with present position, list training and experience relevant to area of project. List all or most representative publications. Do not exceed 3 pages for each individual.)

Science Research Council/NATO research fellow. Development of programs for structure generation and analysis including new approaches to the processing of high and low resolution mass spectral data and to handling magnetic resonance data. Stanford University, 1977-1979.

Junior Research Fellowship at King's College. Work on various computer-assisted approaches to chemical structure elucidation including both rule-based and pattern-recognition methods. Part of this work being incorporated into a Ph.D. thesis for the Computer Science Department. University of Cambridge, 1973-1977.

Research Assistant in Professor Eglinton's Organic Geochemistry Unit in the School of Chemistry on an OSTI-grant (Office for Scientific and Technical Information). Design and implementation of file-search and interpretive schemes for processing low-resolution mass spectral data, work on data-communications software and creation of small, dedicated data-acquisition system on PDP-8 mini-computers. University of Bristol, 1971-1973.

M.Sc. thesis on limitations of CNDO-type semi-empirical quantum mechanical calculations. University of Cambridge, 1968-1970.



Publications:

N.A.B. Gray and A.J. Stone.

"Justifiability of the ZDO Approximation in Terms of a  
Power Series Expansion."  
Theoret. Chim. Acta, 18, 389 (1970).

D. Field, N.A.B. Gray and P.F. Knewstubb.

"Computational Study of the Reactions between CH<sub>4</sub> and CH<sub>4</sub><sup>+</sup>."  
J.Chem.Soc., Faraday II, 68, 852 (1972).

D.H. Smith, N.A.B. Gray, C.T. Pillinger, B.J. Kimble and G. Eglinton.

"Geochemical and environmental applications of a compound  
classifier based on computer analysis of low resolution  
mass spectra."  
Advances in Organic Geochemistry 1971.  
H.R.V. Gaertner and H. Wehner (Eds).  
Pergamon (1972).

T.O. Gronneberg, N.A.B. Gray and G. Eglinton.

"Computer based search and retrieval system for rapid mass  
spectral screening of samples."  
Anal. Chem., 47, 415 (1975).

N.A.B. Gray and T.O. Gronneberg.

"Programs for spectrum classification and screening of  
gas-chromatographic/mass-spectrometric data on a laboratory  
mini-computer."  
Anal.Chem., 47, 419 (1975).

N.A.B. Gray.

"A program for generating empirical spectrum classification  
schemes."  
Org. Mass Spectrom., 10, 507 (1975).

N.A.B. Gray, J.A. Zoro, T.O. Gronneberg, S.J. Gaskell, J.N. Cardoso  
and G. Eglinton.

"Automatic classification of mass spectra by a laboratory  
computer system."  
Analyt. Letters, 8, 461 (1975).

N.A.B. Gray.

"Structural Interpretation of spectra."  
Anal. Chem., 47, 2426 (1975).

N.A.B. Gray.

"Similarity measures for binary coded mass spectral data."  
Anal. Chem., 48, 1420 (1976).

N.A.B. Gray.

"Constraints on learning machine classification methods."  
Anal. Chem., 48, 2426 (1976).

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